

RECORD of CHANGE FOR IMPLEMENTATION CONVENTION CA460R1
(December 23, 1999)

Page*	Form	Segment	Ref. Des.	Change
1				<p><u>Transaction set note 1 was revised as follows:</u></p> <p>1. Recipient Committees, including Candidates, Officeholders, and their Controlled Committees; Ballot Measure Committees; Primarily Formed Candidate/Officeholder Committees; and General Purpose Committees in the state of California can use this transaction set to submit California form 460 (Recipient Committee Campaign Statement) and associated schedules. It also can be used to submit California form 495 (Supplemental Pre-election Campaign Statement) dated 12/99, California form 465 (Supplemental Independent Expenditure Report) dated 12/99, and California form 497 (Late Contribution Report) dated 8/99. If this IC is used to transmit a form 460, table 1 and the applicable illustrated HL loops, 2/HL/0100 through 2/HL/0115, MUST be transmitted (see note 10 below for an explanation of an illustrated HL loop). If this IC is used to submit a form 495, table 1 and the illustrated HL loop 2/HL/0116 MUST be transmitted. If this IC is used to submit a form 465, table 1 and illustrated HL loop 2/HL/0117 Must be transmitted. If this IC is used to submit a form 497, table 1 and illustrated HL loop 2/HL/018 Must be transmitted.</p>
19		1/ST/0100	ST03	Changed designation of IC to CA460R1
32 66 86 107 130 147 163 183 206 230 253 273 292 312 328 348 367 395	460CP 460A 460A1 460B1 460B2 460B3 460C 460D 460E 460F 460G 460H1 460H2 460H3 460I 495 465 497	2/NM1/0700 2/NM1/0702 2/NM1/0703 2/NM1/0704 2/NM1/0705 2/NM1/0706 2/NM1/0707 2/NM1/0708 2/NM1/0709 2/NM1/0710 2/NM1/0711 2/NM1/0712 2/NM1/0713 2/NM1/0714 2/NM1/0715 2/NM1/0716 2/NM1/0717 2/NM1/0718		<p><u>Added following new segment note:</u></p> <p>For each NM1 segment there MUST be a corresponding 2/N9/1200 segment that cites code FI in N901.</p>

* Page numbers are applicable to IC CA460R1.

40 72 92 114 169 189 213 258 298 355 375 402	460CP 460A 460A1 460B1 460C 460D 460E 460G 460H2 495 465 497	2/N9/1200 2/N9/1202 2/N9/1203 2/N9/1204 2/N9/1207 2/N9/1208 2/N9/1209 2/N9/1211 2/N9/1213 2/N9/1216 2/N9/1217 2/N9/1218	N901	<p><u>Added the following new segment note:</u> Use of this segment is REQUIRED a minimum of one time with code FI cited in N901.</p> <p><u>Added code FI with the following note:</u> Use this code to provide a file identifier for each entity identified in NM101 of the 2/NM1/0700 segment.</p>
47	460CP	2/MTX/2600	MTX01	<p><u>Added following new segment note:</u> When LQ02 cites code 08-CIT, 08-CTY, 08-LOC, or 08-OTH, use this segment to identify the city, county, local or other jurisdiction.</p> <p>2. Added code EAM.</p>
75	460A	2/AWD/2702	AWD01-09	<p><u>Revised segment note that describes code use for Amount Received This Period as follows:</u> Amount Received this Period: (NM101 is code EAH or GG) AWD01-01 code 93 AWD01-09 code 05-A4 (Only when NM101 code GG)</p> <p><u>Revised data element note 2 as follows:</u> Cite code 05-A1, 05-A2, or 05-A4.</p>
78 122 175	460A 460B1 460C	2/N9/3102 2/N9/3104 2/N9/3107	N901	<p><u>Added following new segment note:</u> When amounts identified in the 2/AWD/2700 segment are included in other amounts, e.g., a contribution received through an intermediary where the amount must be associated with both the contributor and the intermediary, use this segment to provide a cross reference number that will associate the amounts.</p> <p><u>Added code 60 with the following note:</u> When NM101 is code GG, use this code to indicate a cross reference number that associates an amount with the amount in which it is included. When this code is used, cite the unique monetary transaction identification number of the amount in which the amount identified in the AWD segment is included, i.e., the number associated with the contributor's contribution amount</p>
81	460A1	2/HL/0103		<p><u>Revised segment note as follows:</u> This HL loop illustrates the submission of data required by California form 460, Schedule A-1, Contributions Transferred to a Special Election Committee.</p>
83	460A1	2/N9/0203	N902	<p><u>Revised data element note as follows:</u> Use this data element to cite the form number, e.g. ,F460A-1.</p>
86	460A1	2/NM1/0703	NM102	<p><u>Added following new data element note:</u> When NM101 is code EAH, the listed codes are specifically used to identify the type of contributor.</p>

133 150 236 278 315 333	460B2 460B3 460F 460H1 460H3 460I	2/N9/1205 2/N9/1206 2/N9/1210 2/N9/1212 2/N9/1214 2/N9/1215		Added this data element to carry an entity file number.
172	460C	2/AWD/2707	AWD01-09	<u>Revised segment note that describes code use for Amount/Fair Market Value as follows:</u> Amount/Fair Market Value: (NM101 is code EAH or GG) AWD01-01 code EBG AWD01-09 code 05-A4 (Only when NM101 is code GG) <u>Revised data element note 2 as follows:</u> Cite code 05-A1, 05-A2, or 05-A4.
195	460D	2/AWD/2708		<u>Revised segment note that describes code use for Amount this Period as follows:</u> Amount this Period: AWD01-01 code 93, EBB, or EBG (depending on type of payment) AWD01-02 code 4L (only when AWD01-01 is code 93)
219	460E	2/N9/3109		<u>Added following new segment note:</u> When amounts identified in the 2/AWD/2700 segment are included in other amounts, e.g., a vendor's distributed payment from an agent, use this segment to provide a cross reference number that will associate the amounts. <u>Added code 60 with the following note:</u> When NM101 is code VN, use this code to indicate a cross reference number that associates an amount with the amount in which it is included. When this code is used, cite the unique monetary transaction identification number of the amount in which the amount identified in the AWD segment is included.
230	460F	2/NM1/0710	NM101	<u>1. Added code VN with the following notes:</u> 1. When NM101 is code XA and the creditor is a credit card company; or an agent or independent contractor; use this code to indicate the vendors who were paid by the credit card company or agent/independent contractor. 2. When this code is used, the 2/N9/1200 segment MUST be used to provide a cross reference number , and the 2/AWD/2700 segment MUST be used to provide the amount of the payment applicable to the vendor. <u>2. Revised code XA notes as follows:</u> 1. When HL03 in the 2/HL/0100 segment is code EH of this code is REQUIRED to indicate a creditor (which includes agents and credit card companies.) 2. When this code is used and the creditor is a credit card company, additional iterations of this segment may be used, with NM101 citing code VN, to identify the vendors paid \$100 or more by the credit card company and the 2/N9/1200 segment MUST be used to provide a cross reference number to associate the credit card company with the vendor.

				<p>3. When this code is used and the creditor is an agent or independent contractor, additional iterations of this segment MAY be used, with NM101 citing code VN, to identify the subvendors paid by the agent or independent contractor. In this case the 2/N9/1200 segment MUST be used to provide a cross reference number to associate the agent with the vendor.</p> <p>4. When this code is used, NM102 may cite code 1, 2 or 6, and the 2/AWD/2700 loop MUST be used to identify applicable amounts.</p> <p>5. When this code is used and NM102 is code 6, NM108/09 MUST be used if a state assigned identification number has been received.</p>
238	460F	2/AWD/2710	<p>AWD01</p> <p>AWD01-09</p>	<p><u>Added the following to the segment notes:</u> Amount Paid: (Only use when NM101 is code VN) AWD01-01 code EBZ AWD01-09 code 05-A4</p> <p><u>Added following note to code EBZ:</u> When NM101 is code VN, use this code to indicate a payment made by a creditor on behalf of the filer.</p> <p><u>Added data element with the following notes:</u> 1. Use this data element to cite a code from the California Campaign Disclosure Code List Section 05. 2. Cite code 05-A4.</p>
241	460F	2/N9/3110		<p><u>Added following new segment note:</u> When amounts identified in the 2/AWD/2700 segment are included in other amounts, e.g., payments received by a vendor from a creditor, use this segment to provide a cross reference number that will associate the amounts.</p> <p><u>Added code 60 with the following note:</u> When NM101 is code VN, use this code to indicate a cross reference number that associates an amount with the amount in which it is included. When this code is used, cite the unique monetary transaction identification number of the amount in which the amount identified in the AWD segment is included.</p>
253	460G	2/NM1/0711	NM101	<p><u>Revised segment note 2 as follows:</u> 2. Only one agent can be identified on this schedule 460G</p> <p><u>1. Added the following to the end of code AG note 1:</u> This code may only be used one time.</p> <p><u>2. Revised code PE note 2 as follows:</u> 2. When this code is used, NM102 may cite code 1, 2 or 6, and the 2/AWD/2700 loop MUST be used to identify applicable amounts.</p>
258	460G	2/N9/1211	N901	<p>Deleted original segment note.</p> <p>Deleted code 60.</p>

260	460G	2/AWD/2711	AWD01-09	<p><u>Revised segment note that describes code use for Amount Paid as follows:</u> Amount Paid: AWD01-01 code EBZ AWD01-09 code 05-A4</p> <p><u>Added data element with the following notes:</u> 1. Use this data element to cite a code from the California Campaign Disclosure Code List Section 05. Cite code 05-A4.</p>
262	460G	2/N9/3111	N901	<p><u>Added following new segment note:</u> When amounts identified in the 2/AWD/2700 segment are included in other amounts, e.g., vendor's distributed payment from an agent/credit card company, use this segment to provide a cross reference number that will associate the amounts and to identify the schedule on which the amount was reported. In this situation, the cross reference number is the monetary transaction identifier of the payment made to the agent/credit card company reported on schedule E or the unpaid amount owed to the agent/credit card company reported on schedule F.</p> <p><u>1. Added code 6O with the following note:</u> When NM101 is code PE, use this code to indicate a cross reference number that associates an amount with the amount in which it is included. When this code is used, cite the unique monetary transaction identification number of the amount in which the amount identified in the AWD segment is included, i.e., the monetary transaction identifier of the agent payment reported on schedule E.</p> <p><u>2. Added code FQ with the following note:</u> When NM101 is code PE, use this code to indicate the schedule on which the unique monetary transaction identification number of the agent's payment amount was reported.</p>
343	495	2/N9/0216	N902	<p><u>Added following data element note:</u> When N901 is code O8 or OJ, use this data element to provide the original or the amendment filing number.</p>

348	495	2/NM1/0716	NM101	<u>1. Added code 31 with the following notes:</u> 1. When NM101 is code FN, use this code to indicate the mailing address of the filer if different than the address of the committee. 2. When this code is used, NM102 should cite code <u>2. Added code EAA with the following notes:</u> 1. When NM101 is code EBN, use this code to indicate an assistant treasurer, if applicable. 2. When this code is used, NM102 should cite code 1, and the following segments MUST be used if the assistant treasurer is signing the form 495: the 2/N9/1200 to provide a PIN number that represents the entity's signature, the 2/DTM/1300 to provide a date of the signature, and 2/LQ/2100 to provide a verification statement.
	495	2/NX2/1416		Deleted segment.
363	465	2/N9/0217	N902	Deleted data element note.
	465	2/NX2/1417		Deleted segment.
378	465	2/LQ/2118		<u>Revised segment note 1 as follows:</u> When NM101 in the 2/NM1/0700 segment is code is code FN and NM102 is code 6, use this segment to indicate a state assigned identification number HAS NOT been received.